

## **HOLDING OF SPECIFIED SECURITIES ANNEXURE - 1**

**1. Name of Listing Entity : SHAKTI PUMPS INDIA LIMITED**

**2. 531431/SPL/EQUITY**

**3. Share Holding Pattern Filed under: Reg. 31(1)(b) SHAREHOLDING AS ON : 31/12/2019**

**4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-**

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository reseipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid -up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII = IV+V+VI)	Shareholding as a % of total no. of shares (Calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No of voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible Securities (including warrants) (X)	Total Shareholding as a % assuming full Conversion of convertible securities(as a % of diluted share capital)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized Form (XIV)
								No of voting Rights			Total as a % of (A+B+C)			No.(a)	As a % of Total shares held (b)	No.(a)	As a % of Total shares held (b)	
								Class X	Class Y	Total								
(A)	Promoter and Promoter Group	9	8976682	0	0	8976682	48.84	8976682	0	8976682	48.84	0	48.84	0	0.00	0	0.00	8976682
(B)	Public	16934	9403474	0	0	9403474	51.16	9403474	0	9403474	51.16	0	51.16	0	0.00	N/A	N/A	9355866
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N/A	N/A	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N/A	N/A	0
	Total	16943	18380156	0	0	18380156	100.00	18380156	0	18380156	100.00	0	100.00	0	0.00	0	0.00	18332548

Table II - Statement showing Shareholding Pattern of the Promoter and Promoter Group

	Category & Name of the Shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII = IV+V+VI)	Shareholdin g % calculate as per SCRR 1957 As a % of (A+B+C2) (VIII)	No of voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible Securities (including warrants) (X)	Total Shareholding as a % assuming full Conversion of convertible securities(as a % of diluted share capital) (XI) =(VII)+(X) as a %	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateriali zed Form (XIV)
									No of voting Rights			Total as a % of Total voting rights			No.(a)	As a % of Total shares held (b)	No.(a)	As a % of Total shares held (b)	
									Class X	Class Y	Total								
1	Indian																		
(a)	Individuals/HUFs		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Central Govt./State Govt.		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other(specify)																		
(d-1)	Corporate Bodies		1	518994	0	0	518994	2.82	518994	0	518994	2.82	0	2.82	0	0.00	0	0.00	518994
	VINTEX TOOLS PRIVATE LIMITED	AADCV4039L		518994	0	0	518994	2.82	518994	0	518994	2.82	0	2.82	0	0.00	0	0.00	518994
(d-2)	Directors		2	5191688	0	0	5191688	28.25	5191688	0	5191688	28.25	0	28.25	0	0.00	0	0.00	5191688
	SUNIL PATIDAR	ADHPP4945P		1562200	0	0	1562200	8.50	1562200	0	1562200	8.50	0	8.50	0	0.00	0	0.00	1562200
	DINESH PATIDAR	ADHPP4950A		3629488	0	0	3629488	19.75	3629488	0	3629488	19.75	0	19.75	0	0.00	0	0.00	3629488
(d-3)	Directors Relatives		6	3266000	0	0	3266000	17.77	3266000	0	3266000	17.77	0	17.77	0	0.00	0	0.00	3266000
	ANKIT PATIDAR	AQIPP4362J		1500000	0	0	1500000	8.16	1500000	0	1500000	8.16	0	8.16	0	0.00	0	0.00	1500000
	SEEMA PATIDAR	ADHPP4949B		94000	0	0	94000	0.51	94000	0	94000	0.51	0	0.51	0	0.00	0	0.00	94000
	GEETA PATIDAR	ADHPP4953D		610800	0	0	610800	3.32	610800	0	610800	3.32	0	3.32	0	0.00	0	0.00	610800
	INDIRA PATIDAR	ADHPP4951B		334000	0	0	334000	1.82	334000	0	334000	1.82	0	1.82	0	0.00	0	0.00	334000
	PALLAVI PATIDAR	AFXPP4137P		341800	0	0	341800	1.86	341800	0	341800	1.86	0	1.86	0	0.00	0	0.00	341800
	AISHWARYA PATIDAR	ATCPP4986B		385400	0	0	385400	2.10	385400	0	385400	2.10	0	2.10	0	0.00	0	0.00	385400
	Sub Total (A)(1)		9	8976682	0	0	8976682	48.84	8976682	0	8976682	48.84	0	48.84	0	0.00	0	0.00	8976682
2	Foreign																		
(a)	Individuals(NRI/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other(specify)																		
	Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		9	8976682	0	0	8976682	48.84	8976682	0	8976682	48.84	0	48.84	0	0.00	0	0.00	8976682

Table III - Statement showing Shareholding Pattern of the Public Shareholder

	Category & Name of the shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII = IV+V+VI) (VIII)	No of voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible Securities (including warrants) (X)	Total Shareholding as a % assuming full Conversion of convertible securities(as a % of diluted share capital) (XI) = (VII)+(X) as a %	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized Form (XIV)
								No of voting Rights			Total as a % of Total voting rights			No.(a)	As a % of Total shares held (b)	No.(a)	As a % of Total shares held (b)	
								Class X	Class Y	Total								
1	Institutions																	
(a)	Mutual Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(c)	Alternate Investments Funds		7	871575	0	0	871575	4.74	871575	0	871575	4.74	0	4.74	0	0.00	0	871575
	VANTAGE EQUITY FUND	AACTV5686P		525000	0	0	525000	2.86	525000	0	525000	2.86	0	2.86	0	0.00	0	525000
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(f)	Financial Institutions/Banks		1	20039	0	0	20039	0.11	20039	0	20039	0.11	0	0.11	0	0.00	0	20039
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(h)	Provident Funds / Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(i)	Any other (specify)																	
	Sub Total (B)(1)		8	891614	0	0	891614	4.85	891614	0	891614	4.85	0	4.85	0	0.00	0	891614
	Central Government/State Government(s)/ President of India																	
(a)	Central Govt./State Govt.		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
3	Non-Institutions																	
(a)	Individual																	
(ai)	Ind-Hold nominal shr capital upto Rs.2L		16265	3354929	0	0	3354929	18.25	3354929	0	3354929	18.25	0	18.25	0	0.00	0	3307321
(aii)	Ind-Hold nominal shr capital in excess of Rs.2L		14	915256	0	0	915256	4.98	915256	0	915256	4.98	0	4.98	0	0.00	0	915256
	SNEHAL BHUPENDRA SHAH	AAQP55304K		371750	0	0	371750	2.02	371750	0	371750	2.02	0	2.02	0	0.00	0	371750
(b)	NBFCs Registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(c)	Employees Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(e)	Any Other(specify)																	
(e-1)	Non Resident Indians (Individuals)		346	278225	0	0	278225	1.51	278225	0	278225	1.51	0	1.51	0	0.00	0	278225
(e-2)	Corporate Bodies		214	2194284	0	0	2194284	11.94	2194284	0	2194284	11.94	0	11.94	0	0.00	0	2194284
	M I SECURITIES AND FINANCE PVT LTD	AADCM6540M		868994	0	0	868994	4.73	868994	0	868994	4.73	0	4.73	0	0.00	0	868994
	VINAY SECURITIES PRIVATE LIMITED	AACCV3417F		363000	0	0	363000	1.97	363000	0	363000	1.97	0	1.97	0	0.00	0	363000
	RAJAL SECURITIES PRIVATE LIMITED	AADCR4240B		463400	0	0	463400	2.52	463400	0	463400	2.52	0	2.52	0	0.00	0	463400
(e-3)	Directors		1	76848	0	0	76848	0.42	76848	0	76848	0.42	0	0.42	0	0.00	0	76848
(e-4)	Trusts		2	4280	0	0	4280	0.02	4280	0	4280	0.02	0	0.02	0	0.00	0	4280
(e-5)	Clearing Member		81	33325	0	0	33325	0.18	33325	0	33325	0.18	0	0.18	0	0.00	0	33325
(e-6)	Corporate Body - Broker		1	500	0	0	500	0.00	500	0	500	0.00	0	0.00	0	0.00	0	500
(e-7)	Foreign Corporate Bodies		1	1636363	0	0	1636363	8.90	1636363	0	1636363	8.90	0	8.90	0	0.00	0	1636363
	AFHOLDINGS	AAMCA9149H		1636363	0	0	1636363	8.90	1636363	0	1636363	8.90	0	8.90	0	0.00	0	1636363
(e-8)	Investor Education And Protection Fund		1	17850	0	0	17850	0.10	17850	0	17850	0.10	0	0.10	0	0.00	0	17850
	Sub Total (B)(3)		16926	8511860	0	0	8511860	46.31	8511860	0	8511860	46.31	0	46.31	0	0.00	0	8464252
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		16934	9403474	0	0	9403474	51.16	9403474	0	9403474	51.16	0	51.16	0	0.00	0	9355866

Table IV - Statement showing Shareholding Pattern of the Non Promoter - Non Public shareholder

	Category & Name of the shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR 1957 As a % of (A+B+C2) (VIII)	No of voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible Securities (including warrants) (X)	Total Shareholding as a % assuming full Conversion of convertible securities(as a % of diluted share capital) (XI) = (VII)+(X) as a %	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized Form (XIV)
									No of voting Rights			Total as a % of Total voting rights			No.(a)	As a % of Total shares held (b)	No.(a)	As a % of Total shares held (b)	
									Class X	Class Y	Total								
1	Custodian/ DR Holder																		
(a)	GDRs/ADRs/ADSs		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (C1)1		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Employee Benefit Trust (under SEBI (Share Based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Sub Total (C)2		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C) = (C11)+(C)2		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0