

HOLDING OF SPECIFIED SECURITIES ANNEXURE - 1

1. Name of Listing Entity : SHAKTI PUMPS INDIA LIMITED

2. 531431/SPL/EQUITY

3. Share Holding Pattern Filed under: Reg. 31(1)(b) SHAREHOLDING AS ON : 30/09/2019

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository reseipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Deposit ory Receipt s (VI)	Total Nos. shares held (VII = IV+V+VI)	Shareholding as a % of total no. of shares (Calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No of voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible Securities (including warrants) (X)	Total Shareholding as a % assuming full Conversion of convertible securities(as a % of diluted share capital)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed Form (XIV)
								No of voting Rights			Total as a % of (A+B+C)			No.(a)	As a % of Total shares held (b)	No.(a)	As a % of Total shares held (b)	
								Class X	Class Y	Total								
(A)	Promoter and Promoter Group	9	8878682	0	0	8878682	48.31	8878682	0	8878682	48.31	0	48.31	0	0.00	2173600	24.48	8878682
(B)	Public	16758	9501474	0	0	9501474	51.69	9501474	0	9501474	51.69	0	51.69	0	0.00	N/A	N/A	9453366
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N/A	N/A	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N/A	N/A	0
	Total	16767	18380156	0	0	18380156	100.00	18380156	0	18380156	100.00	0	100.00	0	0.00	2173600	11.83	18332048

Table II - Statement showing Shareholding Pattern of the Promoter and Promoter Group

	Category & Name of the Shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR 1957 As a % of (A+B+C2) (VIII)	No of voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible Securities (including warrants) (X)	Shareholding as a % assuming full Conversion of convertible securities(as a % of diluted share capital) (XI) =(VII)+(X) as a %	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized Form (XIV)
									No of voting Rights			Total as a % of Total voting rights			No.(a)	As a % of Total shares held (b)	No.(a)	As a % of Total shares held (b)	
									Class X	Class Y	Total								
1	Indian																		
(a)	Individuals/HUFs		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Central Govt./State Govt.		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other(specify)																		
(d-1)	Corporate Bodies		1	518994	0	0	518994	2.82	518994	0	518994	2.82	0	2.82	0	0.00	0	0.00	518994
	VINTEX TOOLS PRIVATE LIMITED	AADCV4039L		518994	0	0	518994	2.82	518994	0	518994	2.82	0	2.82	0	0.00	0	0.00	518994
(d-2)	Directors		2	5093688	0	0	5093688	27.71	5093688	0	5093688	27.71	0	27.71	0	0.00	1006000	19.75	5093688
	DINESH PATIDAR	ADHPP4950A		3531488	0	0	3531488	19.21	3531488	0	3531488	19.21	0	19.21	0	0.00	0	0.00	3531488
	SUNIL PATIDAR	ADHPP4945P		1562200	0	0	1562200	8.50	1562200	0	1562200	8.50	0	8.50	0	0.00	1006000	64.40	1562200
(d-3)	Directors Relatives		6	3266000	0	0	3266000	17.77	3266000	0	3266000	17.77	0	17.77	0	0.00	1167600	35.75	3266000
	GEETA PATIDAR	ADHPP4953D		610800	0	0	610800	3.32	610800	0	610800	3.32	0	3.32	0	0.00	444000	72.69	610800
	INDIRA PATIDAR	ADHPP4951B		334000	0	0	334000	1.82	334000	0	334000	1.82	0	1.82	0	0.00	170000	50.90	334000
	PALLAVI PATIDAR	AFXPP4137P		341800	0	0	341800	1.86	341800	0	341800	1.86	0	1.86	0	0.00	182000	53.25	341800
	ANKIT PATIDAR	AQIPP4362J		1500000	0	0	1500000	8.16	1500000	0	1500000	8.16	0	8.16	0	0.00	0	0.00	1500000
	SEEMA PATIDAR	ADHPP4949B		94000	0	0	94000	0.51	94000	0	94000	0.51	0	0.51	0	0.00	94000	100.00	94000
	AISHWARYA PATIDAR	ATCPP4986B		385400	0	0	385400	2.10	385400	0	385400	2.10	0	2.10	0	0.00	277600	72.03	385400
	Sub Total (A)(1)		9	8878682	0	0	8878682	48.31	8878682	0	8878682	48.31	0	48.31	0	0.00	2173600	24.48	8878682
2	Foreign																		
(a)	Individuals(NRI/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other(specify)																		
	Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		9	8878682	0	0	8878682	48.31	8878682	0	8878682	48.31	0	48.31	0	0.00	2173600	24.48	8878682

Table III - Statement showing Shareholding Pattern of the Public Shareholder

	Category & Name of the shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR 1957 As a % of (A+B+C2) (VIII)	No of voting Rights held in each class of securities (IX)			No of shares underlying outstanding convertible Securities (including warrants) (X)	Total Shareholding as a % assuming full Conversion of convertible securities(as a % of diluted share capital) (XI) =(VII)+(X) as a %	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized Form (XIV)	
									No of voting Rights					Total as a % of Total voting rights	No.(a)	As a % of Total shares held (b)	No.(a)		As a % of Total shares held (b)
									Class X	Class Y	Total								
1	Institutions																		
(a)	Mutual Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Alternate Investments Funds		7	898130	0	0	898130	4.89	898130	0	898130	4.89	0	4.89	0	0.00	0	0.00	898130
	VANTAGE EQUITY FUND	AACTV5686P		525000	0	0	525000	2.86	525000	0	525000	2.86	0	2.86	0	0.00	0	0.00	525000
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(f)	Financial Institutions/Banks		3	35375	0	0	35375	0.19	35375	0	35375	0.19	0	0.19	0	0.00	0	0.00	35375
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(h)	Provident Funds / Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(i)	Any other (specify)																		
	Sub Total (B)(1)		10	933505	0	0	933505	5.08	933505	0	933505	5.08	0	5.08	0	0.00	0	0.00	933505
	Central Government/State Government(s)/ President of India																		
2																			
(a)	Central Govt./State Govt.		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
3	Non-Institutions																		
(a)	Individual																		
(ai)	Ind-Hold nominal shr capital upto Rs.2L		16075	3308666	0	0	3308666	18.00	3308666	0	3308666	18.00	0	18.00	0	0.00	0	0.00	3260558
(aii)	Ind-Hold nominal shr capital in excess of Rs.2L		15	956187	0	0	956187	5.20	956187	0	956187	5.20	0	5.20	0	0.00	0	0.00	956187
	SNEHAL BHUPENDRA SHAH	AAQPS5304K		368835	0	0	368835	2.01	368835	0	368835	2.01	0	2.01	0	0.00	0	0.00	368835
(b)	NBFCs Registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Employees Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other(specify)																		
(e-1)	Non Resident Indians (Individuals)		368	292917	0	0	292917	1.59	292917	0	292917	1.59	0	1.59	0	0.00	0	0.00	292917
(e-2)	Corporate Bodies		231	2246543	0	0	2246543	12.22	2246543	0	2246543	12.22	0	12.22	0	0.00	0	0.00	2246543
	VINAY SECURITIES PRIVATE LIMITED	AACCV3417F		363000	0	0	363000	1.97	363000	0	363000	1.97	0	1.97	0	0.00	0	0.00	363000
	M L SECURITIES AND FINANCE PVT LTD	AADCM6540M		868994	0	0	868994	4.73	868994	0	868994	4.73	0	4.73	0	0.00	0	0.00	868994
	RAJAL SECURITIES PRIVATE LIMITED	AADCR4240B		463400	0	0	463400	2.52	463400	0	463400	2.52	0	2.52	0	0.00	0	0.00	463400
(e-3)	Directors		1	76848	0	0	76848	0.42	76848	0	76848	0.42	0	0.42	0	0.00	0	0.00	76848
(e-4)	Trusts		5	12140	0	0	12140	0.07	12140	0	12140	0.07	0	0.07	0	0.00	0	0.00	12140
(e-5)	Clearing Member		50	20427	0	0	20427	0.11	20427	0	20427	0.11	0	0.11	0	0.00	0	0.00	20427
(e-6)	Corporate Body - Broker		1	28	0	0	28	0.00	28	0	28	0.00	0	0.00	0	0.00	0	0.00	28
(e-7)	Foreign Corporate Bodies		1	1636363	0	0	1636363	8.90	1636363	0	1636363	8.90	0	8.90	0	0.00	0	0.00	1636363
	AFHOLDINGS	AAMCA9149H		1636363	0	0	1636363	8.90	1636363	0	1636363	8.90	0	8.90	0	0.00	0	0.00	1636363
(e-8)	Investor Education And Protection Fund		1	17850	0	0	17850	0.10	17850	0	17850	0.10	0	0.10	0	0.00	0	0.00	17850
	Sub Total (B)(3)		16748	8567969	0	0	8567969	46.62	8567969	0	8567969	46.62	0	46.62	0	0.00	0	0.00	8519861
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		16758	9501474	0	0	9501474	51.69	9501474	0	9501474	51.69	0	51.69	0	0.00	0	0.00	9453366

Table IV - Statement showing Shareholding Pattern of the Non Promoter - Non Public shareholder

	Category & Name of the shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR 1957 As a % of (A+B+C2) (VIII)	No of voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible Securities (including warrants) (X)	Total Shareholding as a % assuming full Conversion of convertible securities(as a % of diluted share capital) (XI) = (VII)+(X) as a %	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized Form (XIV)
									No of voting Rights			Total as a % of Total voting rights			No.(a)	As a % of Total shares held (b)	No.(a)	As a % of Total shares held (b)	
									Class X	Class Y	Total								
1	Custodian/ DR Holder																		
(a)	GDRs/ADRS/ADSS		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (C)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share Based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0