

General information about company	
Scrip code	531431
NSE Symbol	SHAKTIPUMP
MSEI Symbol	
ISIN	INE908D01010
Name of the entity	SHAKTI PUMPS (INDIA) LIMITED
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Yearly
Date of Report	31-03-2019
Risk management committee	Not Applicable

Annexure I															
Annexure I to be submitted by listed entity on quarterly basis															
I. Composition of Board of Directors															
Disclosure of notes on composition of board of directors explanatory															
Wether the listed entity has a Regular Chairperson												Yes			
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Mr Dinesh Patidar	ADHPP4950A	00549552	Executive Director	Chairperson	MD	01-09-2018			1	1	0		
2	Mr	Sunil Monoharlal Patidar	ADHPP4945P	02561763	Executive Director	Not Applicable		29-01-2016			1	0	0		
3	Mr	Ramesh Patidar	AHPPP3786N	00931437	Executive Director	Not Applicable		16-10-2016			1	0	0		
4	Mr	Navin Sunderlal Patwa	AGXPP8409K	01009404	Non-Executive - Independent Director	Not Applicable		31-07-2014		60	1	2	1		

Annexure I to be submitted by listed entity on quarterly basis**I. Composition of Board of Directors****Disclosure of notes on composition of board of directors explanatory**

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mrs	Nishtha Neema	ADEPN6009K	01743710	Non-Executive - Independent Director	Not Applicable		28-03-2015		60	2	3	2		
6	Mr	Pramod Kumar Bhavsar	ABHPB5361P	07825119	Non-Executive - Independent Director	Not Applicable		19-05-2017		60	1	1	0		
7	Mr	Sridhar Narayan	AABPN4832C	00137243	Non-Executive - Nominee Director	Not Applicable		17-11-2014			1	0	0		
8	Mr	Shyam Sunder Raghuvanshi	AASPR7018R	02285727	Non-Executive - Independent Director	Not Applicable		30-07-2017	20-03-2019	0	0	0	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01743710	Nishtha Neema	Non-Executive - Independent Director	Chairperson	28-03-2015		
2	00549552	Mr Dinesh Patidar	Executive Director	Member	01-09-2018		
3	01009404	Navin Sunderlal Patwa	Non-Executive - Independent Director	Member	31-07-2014		
4	02285727	Shyam Sunder Raghuvanshi	Non-Executive - Independent Director	Member	30-07-2017	20-03-2019	

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07825119	Pramod Kumar Bhavsar	Non-Executive - Independent Director	Chairperson	19-05-2017		
2	01009404	Navin Sunderlal Patwa	Non-Executive - Independent Director	Member	31-07-2014		
3	01743710	Nishtha Neema	Non-Executive - Independent Director	Member	28-03-2015		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01009404	Mr. Navin Sunderlal Patwa	Non-Executive - Independent Director	Chairperson	31-07-2014		
2	07825119	Mr. Pramod Kumar Bhavsar	Non-Executive - Independent Director	Member	19-05-2017		
3	99999999	Mr. Dinesh Patel	Member	Member	20-03-2019		
4	99999999	Mr. Akhilesh Maru	Member	Member	01-06-2016	22-02-2019	

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07825119	Pramod Kumar Bhavsar	Non-Executive - Independent Director	Chairperson	19-05-2017		
2	01009404	Navin Sunderlal Patwa	Non-Executive - Independent Director	Member	31-07-2014		
3	01743710	Nishtha Neema	Non-Executive - Independent Director	Member	28-03-2015		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1				
Annexure 1				
III. Meeting of Board of Directors				
Disclosure of notes on meeting of board of directors explanatory				Notes for not providing Date
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	
1	16-10-2018			
2		12-02-2019	118	
3		20-03-2019	35	

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory							Name of other committee Reason for not providing date	
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)		
1	Audit Committee	12-02-2019	Yes	3	16-10-2018	118		
2	Audit Committee	20-03-2019	Yes	3				Since the Audit Committee Meeting held for 2nd time in quarter ending 31st March 2019 and the said Meeting was held for Appointment of CFO.
3	Stakeholders Relationship Committee	12-02-2019	Yes	3	16-10-2018	118		
4	Corporate Social Responsibility Committee	12-02-2019	Yes	3				CSR committee Meeting was held for the purpose of reviewing the CSR expenditure incurred and was not held in previous quarter.

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Ravi Patidar
2	Designation	Company Secretary and Compliance Officer

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.shaktipumps.com
2	Terms and conditions of appointment of independent directors	Yes		www.shaktipumps.com
3	Composition of various committees of board of directors	Yes		www.shaktipumps.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.shaktipumps.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.shaktipumps.com
6	Criteria of making payments to non-executive directors	Yes		www.shaktipumps.com
7	Policy on dealing with related party transactions	Yes		www.shaktipumps.com
8	Policy for determining ‘material’ subsidiaries	Yes		www.shaktipumps.com
9	Details of familiarization programmes imparted to independent directors	Yes		www.shaktipumps.com

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.shaktipumps.com
11	email address for grievance redressal and other relevant details	Yes		www.shaktipumps.com
12	Financial results	Yes		www.shaktipumps.com
13	Shareholding pattern	Yes		www.shaktipumps.com
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	NA		

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of ‘independence’ and/or ‘eligibility’	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	Yes	
6	Code of Conduct	17(5)	Yes	
7	Fees/compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
20	Approval for material related party transactions	23(4)	NA	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2), (3),(4),(5) & (6)	Yes	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

Annexure II		
1	Name of signatory	Ravi Patidar
2	Designation	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

Annexure II		
1	Name of signatory	Ravi Patidar
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	Ravi Patidar
Designation of person	Company Secretary and Compliance Officer
Place	Pithampur
Date	05-04-2019

